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850-101

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 PATENT  
 01985-P0032A WJS/WWW/DDH

Applicants:	Bernard A. Weinstein, et al.
Serial No.: Pending	Filing Date: May 23, 1997
Title of Application:	Market Data Notification System

Box Patent Application  
 Commissioner of Patents  
 and Trademarks  
 Washington, DC 20231

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of the following inventor(s):  
 Bernard A. Weinstein, Steven Bongiovanni, and Kevin J. Flynn for **Market Data Notification System**.

1. **Type of Application.** This new application is for an Original Application.
2. **Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)**  
 (Regular) Application:

Specification - 17 pages  
 Abstract - 1 page

Claims - 5 pages  
 Drawings - 6 sheets

3. **Fee Calculation (37 CFR 1.16).** This is a Regular Application.

Claims as Filed			
Number Filed	No. Extra	Rate	Fee
Basic Filing Fee			\$ 770.00
Total Claims 17 minus 20	= 0	@ \$ 22	0.00
Independent Claims: 4 minus 3	= 1	@ \$ 80	80.00
Multiple Dependent Claims	0	@ \$260	0.00
Fee Calculation			\$ 850.00

Express Mail Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as "Express Mail Post Office to Addressee" Mailing Label Number EM387356388US in an envelope addressed to: Assistant Commissioner of Patents; Washington, DC 20231

May 23 1997

*Fidelia K. Rice*  
 Fidelia K. Rice

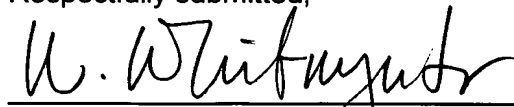
4. **Declaration or Oath** executed by inventors is enclosed.
5. **Inventorship Statement.** The inventorship for all the claims in this application is the same.
6. **Assignment.** An Assignment of the invention to The Thomson Corporation is attached.
7. **Language** is English.
8. **Fee Payment Being Made at this Time.** Enclosed is payment of:

Basic filing fee	\$ 770.00
One additional Independent	
Claim	80.00
Recording Assignment	<u>40.00</u>
Total fees	\$ 890.00

9. **Method of Payment of Fees.** Our check in the amount of \$890.00 is enclosed.
10. **Authorization to Charge Additional Fees.** The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this Application to Account No. 19-4516:  
  
37 CFR 1.16(a), (f) or (g) - filing fees;  
37 CFR 1.16(b), (c) or (d) - presentation of extra claims;  
37 CFR 1.16 (e) surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;  
37 CFR 1.17 - application processing fees
11. **Instruction As to Overpayment.** Please refund.

Respectfully submitted,

May 23, 1997



William J. Speranza, Registration No. 26,340  
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David H. Hwang, Registration No. 38,697  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

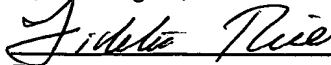
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Title of Application:	<b>Market Data Notification System</b>

COVERSHEET FOR 6 SHEETS OF DRAWINGS

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Fidelia Rice